



Coordinadora
Catalana de Fundacions

Cafè de la Recerca:

‘Noves mètriques i tendències a l’hora d’avaluar els projectes de recerca’

El repte d’adoptar noves mètriques en l’avaluació de la recerca

18 de juny de 2024

Ignasi Labastida i Juan
Universitat de Barcelona



Ja s'han vist els primers canvis en l'avaluació

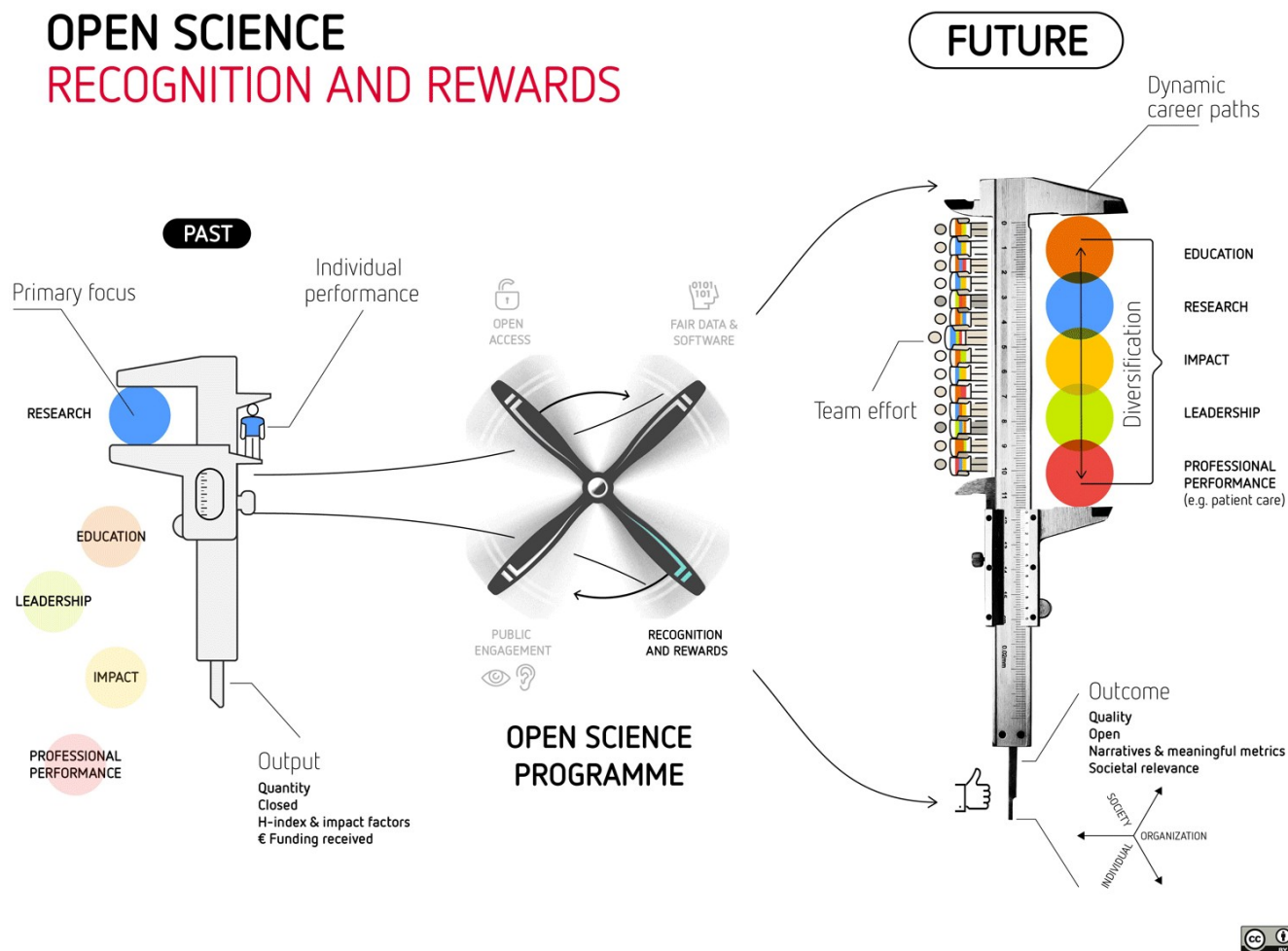
Diversitat de carreres acadèmiques



Utrecht University

OPEN SCIENCE

RECOGNITION AND REWARDS



El repte d'adoptar noves mètriques en l'avaluació de la recerca
CC BY Ignasi Labastida

Cafè de la Recerca, Coordinadora Catalana de Fundacions
18 de juny de 2024

Utilització de matrius en lloc de mètriques



I els sexennis...



Baremo general de aplicación en la evaluación de los sexenios de investigación 2023 por parte de los Comités Asesores

Contribución al progreso del conocimiento en el área	10%
Impacto científico	60%
Impacto social	10%
Contribución a la ciencia abierta	10%
Aportación preferente	10%
Reiteración de publicaciones	-15%
Autoría insuficientemente justificada	-15%
Malas prácticas de publicación	-25% a -100%

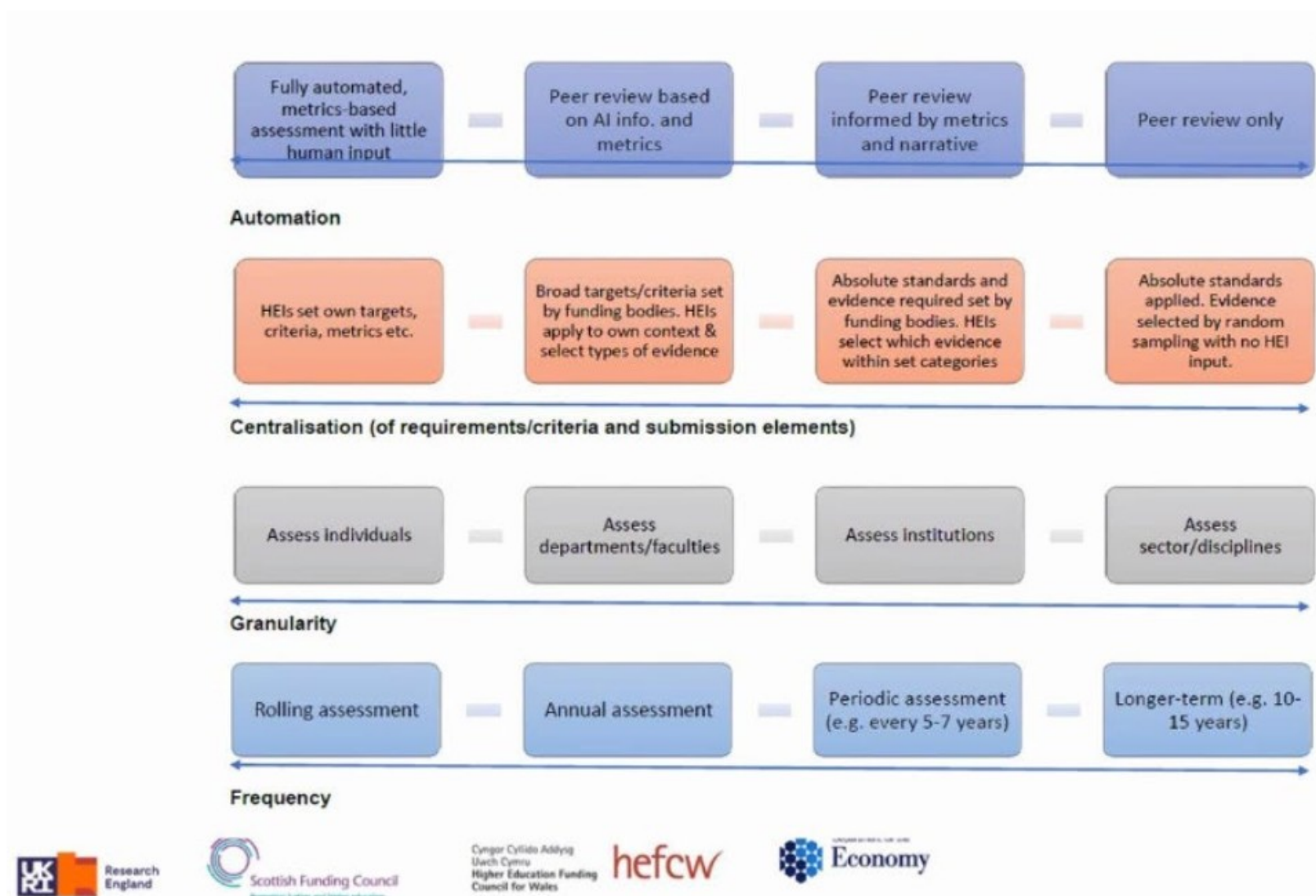
Contribución a la ciencia abierta (10%)

1. Grado de apertura (depósito de *preprint*, ruta diamante, licencias *Creative Commons*, etc.)
2. En caso de conjunto de datos: Adopción de principios FAIR, posibilidad de descarga, etc.
3. En caso de software: Contribución a software libre

Otros indicios: Otras contribuciones no académicas, diversidad de repositorios utilizados para depósito, uso y reutilización de datos, etc.

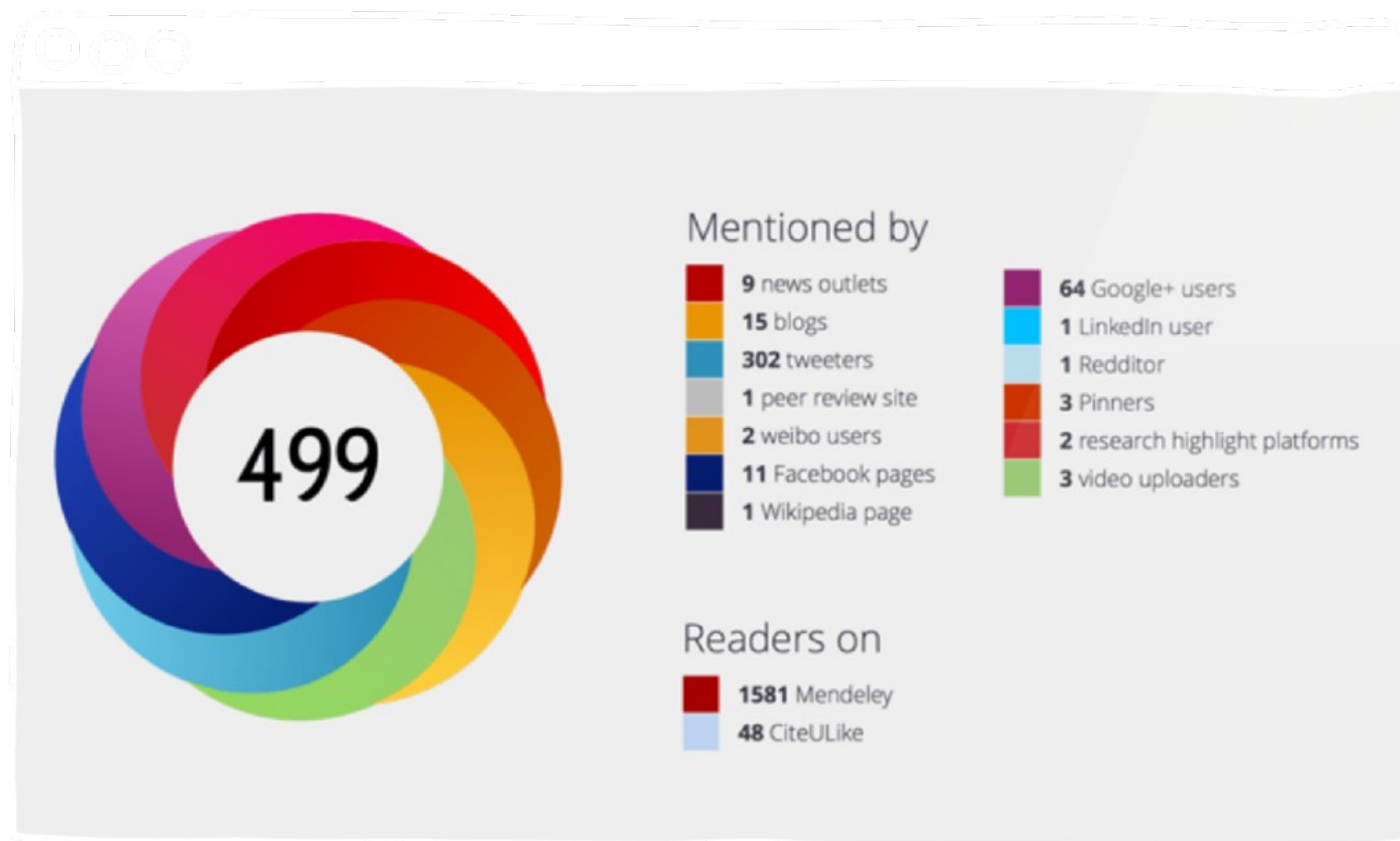
El marc de l'avaluació

L'espectre de l'avaluació

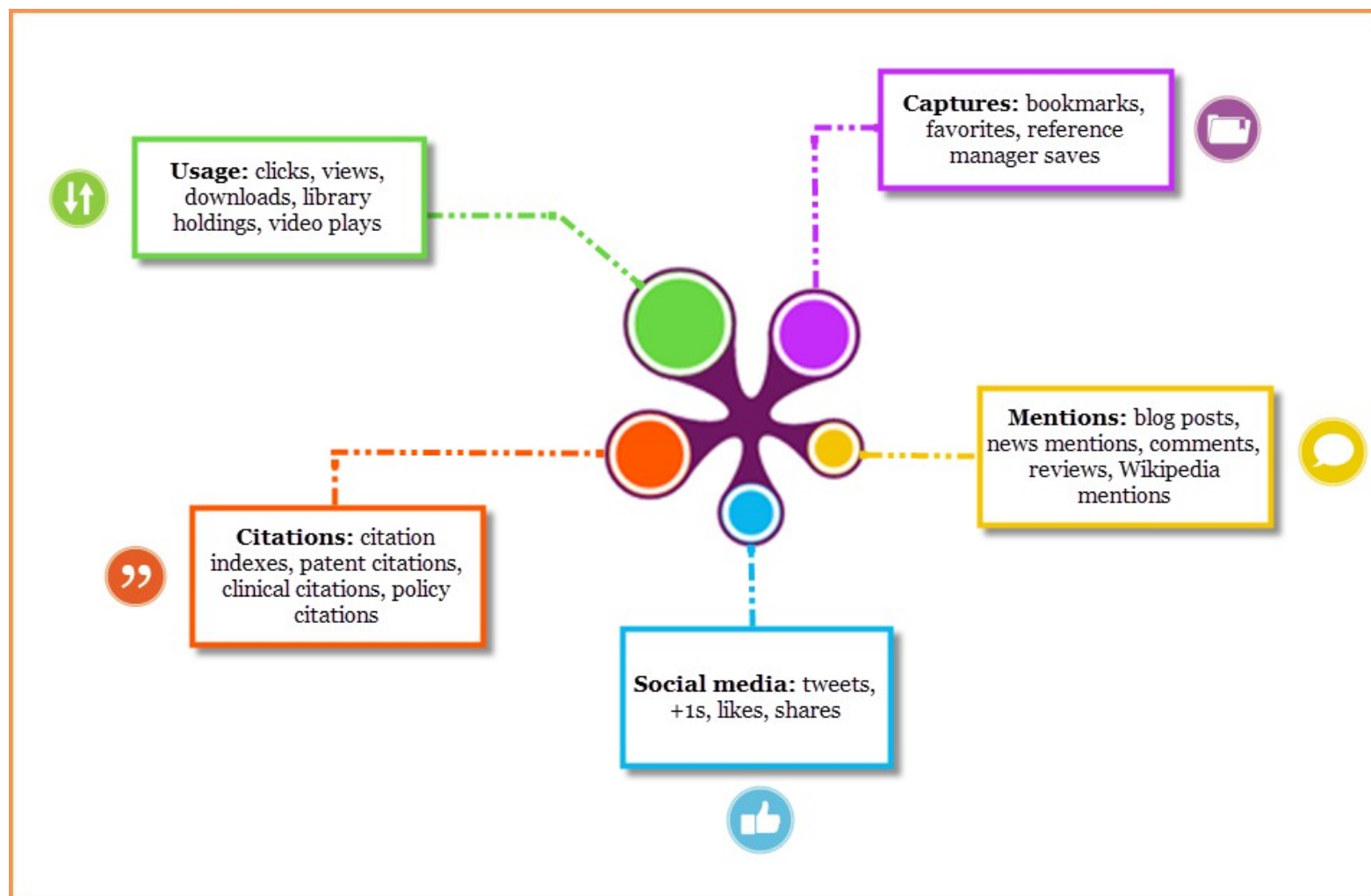


Noves mètriques, nous indicadors

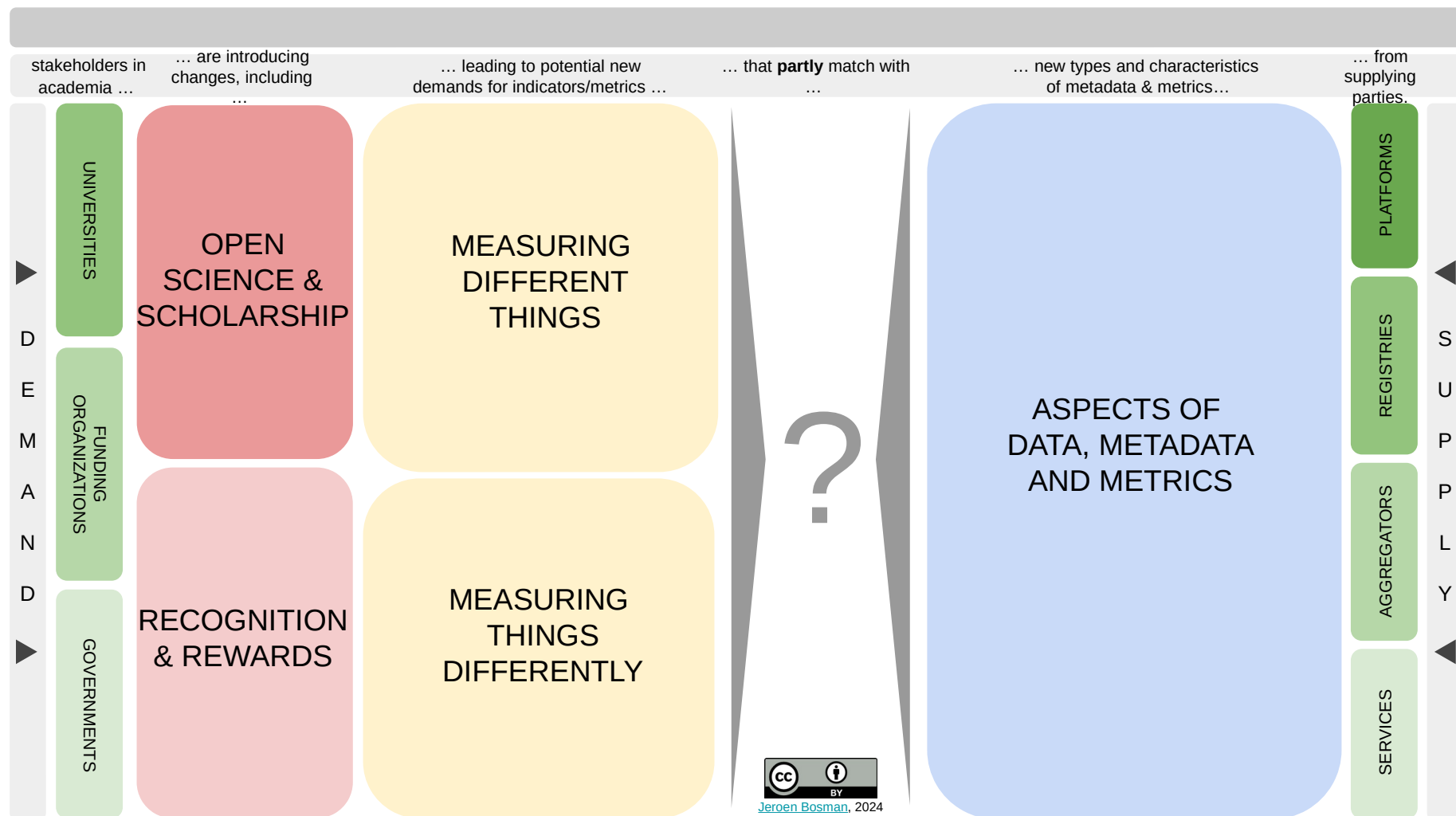
Mètriques alternatives



Mètriques alternatives



Mesurar per a les noves necessitats



<https://tinyurl.com/LERU-REMETRICS>

Changes in demand and supply of metrics for research evaluation in the context of open science and new R&R

stakeholders in
academia ...

... are introducing
changes, including ...

... leading to potential new
demands for indicators/metrics ...

... that **partly** match with ...

... new types and characteristics
of metadata & metrics...

... from supplying
parties.

UNIVERSITIES

OPEN SCIENCE & SCHOLARSHIP

open access, for people and machines, to:

- materials, protocols
- data that is also FAIR
- code & software
- publications (incl. preprints, proceedings)

while striving for:

- full documentation of process
- applying open reuse licenses
- using open source software and hardware
- involving stakeholders (incl. citizen science)
- public engagement
- adding plain language

MEASURING DIFFERENT THINGS

open access status of outputs

data FAIRness and availability/reuse

code & software availability/reuse

preprinting

conference output

books, chapters

outputs in all languages

(societal) impact

collaborations

FUNDING
ORGANIZATIONS

RECOGNITION & REWARDS

recognize:

- diversity of outputs
- peer review
- teamwork
- collaboration
- leadership
- diversity of roles
- (societal) impact

while striving for:

- qualitative assessment
- no journal based metrics
- not only author-based metrics
- involving the assessed
- data/method transparency
- community tool ownership
- evidence based narrative CVs

MEASURING THINGS DIFFERENTLY

data at team level

data at project level

community control & ownership of tools

data supporting formative assessment

avoid ranking of data

avoid composite metrics

fully open and transparent data

more qualitative data/insights

GOVERNMENTS

more inclusive databases (doctype/lang./geogr.)

OA-detection (e.g. UPW, including OA-types)

open reuse license detection

data of research/output mentions beyond academia

(sub)organization PIDs (ROR)

output PIDs (DOI, URN, etc.)

creator PIDs (ORCID)

project PIDs (RAID)

funding/funder PIDs (funder registry, grant IDs)

role indicators (e.g. using CREDIT taxonomy)

open citations (COCI) and open abstracts (I4OA)

data/software/preprint citations (birectional)

tools providing open granular data (API/dumps)

open source tools (e.g. OpenAlex)

community governed tools/data (e.g. Crossref)

AI-based analyses/data

PLATFORMS
(e.g. Zenodo, Science Direct Github, bioRxiv)

REGISTRIES
(e.g. Crossref, DataCite, ROR, ORCID, DOAJ)

AGGREGATORS
(e.g. OpenAire, OpenAlex, CORE)

SERVICES
(e.g. WoS, Dimensions, LENS, Leiden Ranking)

Lacking / to be improved: data providing early insights of usage; open usage data; granularity of data

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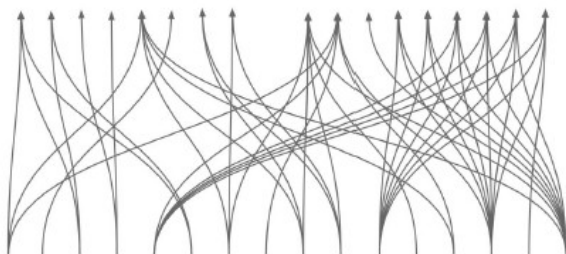
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Lacking / to be improved: data on FAIRness; data on citation/reuse

Document sobre noves mètriques

Next Generation Metrics for Scientific and Scholarly Research in Europe



LERU Next Generation Metrics Focus Group:

Jeroen Bosman¹, Koenraad Debackere², William P. Cawthorne³, Paola Galimberti⁴, Mikael Graffner⁵, Leonhard Held⁶, Karlijn Hermans⁷, Fiona Killard⁸, Ignasi Labastida⁹, Andrew Millar³, Mark Robinson⁶, Kristell Roser¹⁰, Terhi Sangren¹¹, Michael Svendsen¹², Paul Wouters (chair)^{7,}*

¹Utrecht University, ²KU Leuven, ³University of Edinburgh, ⁴University of Milan, ⁵Lund University, ⁶University of Zurich, ⁷Leiden University ⁸Trinity College Dublin, The University of Dublin (now at The National Institute for Bioprocessing Research and Training, Ireland) ⁹University of Barcelona, ¹⁰Sorbonne University, ¹¹University of Helsinki, ¹²Copenhagen University.

*With thanks to the former members of the Next Generation Metrics Focus Group: Bernd Kortmann, Rens Vliegenthart, Immo Warntjes.

LERU, Brussels, February 2024

Available at: <https://doi.org/zenodoXXXXX>

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<https://doi.org/10.5281/zenodo.11123148>

Recomanacions

Recomanació general

Feu servir indicadors i mètriques que:

- siguin rellevants en el vostre context
- donin suport a una avaluació responsable de la recerca
- estiguin alineades amb la missió de la vostra institució

Recomanacions per a la transició

- Avalueu les mètriques actuals identificant fortaleeses, febleses i àrees de millora
- Involucreu tots els agents implicats de la comunitat
- Les mètriques han d'anar més enllà de la bibliometria tradicional i tenir en compte l'impacte social, la ciència oberta, la col·laboració i el reconeixement d'una diversitat de contribucions
- Establiu uns objectius clars per a la transició
- Desenvolpeu i implementeu projectes pilot
- Oferiu formació i suport
- Avalueu les noves mètriques periòdicament

Recomanacions per fer servir les mètriques

- Feu servir l'expertesa disponible en l'ús de mètriques
- Les mètriques també han de reconèixer les contribucions dels equips
- Cal anar amb cura amb els diversos contextos en què s'apliquen les mètriques
- Cal tenir en compte els biaixos i els problemes de les comparacions de dades bibliomètriques
- Promogreu una comunicació oberta entre la comunitat científica i els formuladors de polítiques
- Reconegueu la complementarietat entre la revisió de parells i les mètriques
- Entengueu els diversos comportaments a l'hora de publicar segons les disciplines

Gràcies

Ignasi Labastida i Juan
ilabastida@ub.edu